

REVIEW

## Vitamin D deficiency and clinical outcome in patients with chronic heart failure: A review



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**Abstract** *Aim:* The aim of this review was to summarize evidence on the role of Vitamin D deficiency in heart failure (HF), from pathophysiological mechanisms to clinical effects of Vitamin D supplementation.

*Data synthesis:* Chronic HF secondary to left ventricular (LV) systolic dysfunction is a growing health problem, still associated with poor clinical outcome. In recent years, experimental and epidemiological evidence focused on the role of Vitamin D in HF. Cross sectional studies demonstrated that prevalence of HF is increased in patients with Vitamin D deficiency or parathyroid hormone (PTH) plasma level increase, whereas longitudinal studies showed enhanced risk of developing new HF in patients with Vitamin D deficiency. In addition, in patients with established HF, low plasma levels of Vitamin D are associated with worsening clinical outcome. Yet, clinical studies did not definitively demonstrate a benefit of Vitamin D supplementation for preventing HF or ameliorating clinical outcome in patients with established HF.

*Conclusions:* Despite convincing experimental and epidemiological data, treatment with Vitamin D supplementation did not show clear evidence of benefit for preventing HF or influencing its clinical course. Ongoing clinical studies will hopefully shed lights on the effects of Vitamin D supplementation on clinical endpoints along the spectrum of HF.

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**Abbreviations:** AF, atrial fibrillation; CV, cardiovascular; EF, ejection fraction; HF, Heart failure; LV, left ventricular; MCS, mechanical circulatory support; MI, myocardial infarction; MMP, metalloprotease; NYHA, New York Heart Association; NT pro-ANP, NT pro-atrial natriuretic peptide; NT pro-BNP, N-terminal pro-brain natriuretic peptide; PTH, parathyroid hormone; RAAS, renin-angiotensin-aldosterone system; SCD, sudden cardiac death; TRPV6, transient receptor potential channel vanilloid type; TIMPs, tissue inhibitors of metalloproteinases; VDR, Vitamin D receptor; 1,25(OH)<sub>2</sub>D, 1,25-dihydroxyvitamin D; 25(OH)D, 25-hydroxyvitamin D; 6-MWT, 6-min walking test; 6-MWD, 6-min walk distance.

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## Introduction

Heart failure (HF) is a complex syndrome secondary to inherited or acquired structural or functional heart abnormalities, and remains a leading cause of mortality and morbidity worldwide [1]. Approximately 10 millions of patients in Europe are affected by chronic HF [1] and, despite substantial advances in therapeutic options over the last years, no substantial changes in prognosis have been observed, with survival rate at 5 years after diagnosis of 35–50% [2,3]. Several mechanisms are involved in the pathogenesis of HF, including haemodynamic abnormalities, neurohormonal activation, enhanced inflammation and micronutrients availability [4], that explains the sub-optimal impact of current therapies on clinical outcome.

Vitamin D is an important micronutrient with a significant role in autocrine and paracrine regulation of cellular functions and in growth and differentiation of several organs, including the heart. In fact, Vitamin D deficiency is associated with increased incidence of hypertension, myocardial infarction (MI), HF and stroke [5].

The aim of this review is to summarize evidence regarding Vitamin D deficiency in the pathogenesis and clinical course of HF with reduced systolic function and to report the effects of Vitamin D supplementation in patients with HF.

The search strategy to realize this review article, was a MEDLINE research, made with the following terms: "Vitamin D" or "Vitamin D supplementation" and "heart failure". All initially retrieved articles were subsequently individually analysed and discussed by the Authors group to establish adherence and relevance for the present review.

## Vitamin D metabolism (Physiology)

Vitamin D is a secosteroid that exists in two major forms: Vitamin D<sub>2</sub> (or ergocalciferol) and Vitamin D<sub>3</sub> (or cholecalciferol). Vitamin D can be derived from sunlight (UV-B)-induced production in the skin (80%) and from dietary intake [6]. The formation of active Vitamin D<sub>3</sub> metabolite requires two steps, the first in the liver to form 25-hydroxyvitamin D<sub>3</sub> (25(OH)D or calcifediol) and the second in the kidney to convert calcifediol in 1,25-dihydroxyvitamin D (1,25(OH)<sub>2</sub>D or calcitriol) [7]. The 25(OH)D is primarily dependent on Vitamin D supply, with serum levels higher than calcitriol and with longer half-life (~3 weeks) compared to Vitamin D and calcitriol (both with few hours half life). Therefore, 25(OH)D concentrations should be measured to assess Vitamin D status [6,8].

Vitamin D exerts its action binding Vitamin D receptor (VDR), expressed on at least 36 different tissues including cardiac muscle, vascular smooth muscles, endothelium and lymphocytes [9]. VDR forms a heterodimer with the retinoic acid receptor, and this heterodimeric complex acts on gene transcription of Vitamin D response element [10], that consists of a large number of target genes [10]. Recent studies also showed that Vitamin D metabolites might act through non-genomic pathways, using an alternative binding site on VDR [9,10].

From plasma measurement of 25(OH)D, in 2011 the Institute of Medicine classified Vitamin D status as deficiency (values below 12 ng/ml), inadequacy (values from 12 to 19.9 ng/ml), adequacy (values from 20 to 50 ng/ml) and potentially harmful (values above 50 ng/ml). Interestingly, a U-shape relationship emerged between Vitamin D levels and all-cause mortality, cardiovascular (CV) diseases, selected cancer, falls and fracture. This emerging relationship has a remarkable impact in the management of patients with Vitamin D deficiency, since no additional clinical benefit is observed for 25(OH)D concentration above 30 ng/ml, and excess risk has been observed for levels above 50 mg/dl [11].

## Vitamin D and the CV system (Mechanisms)

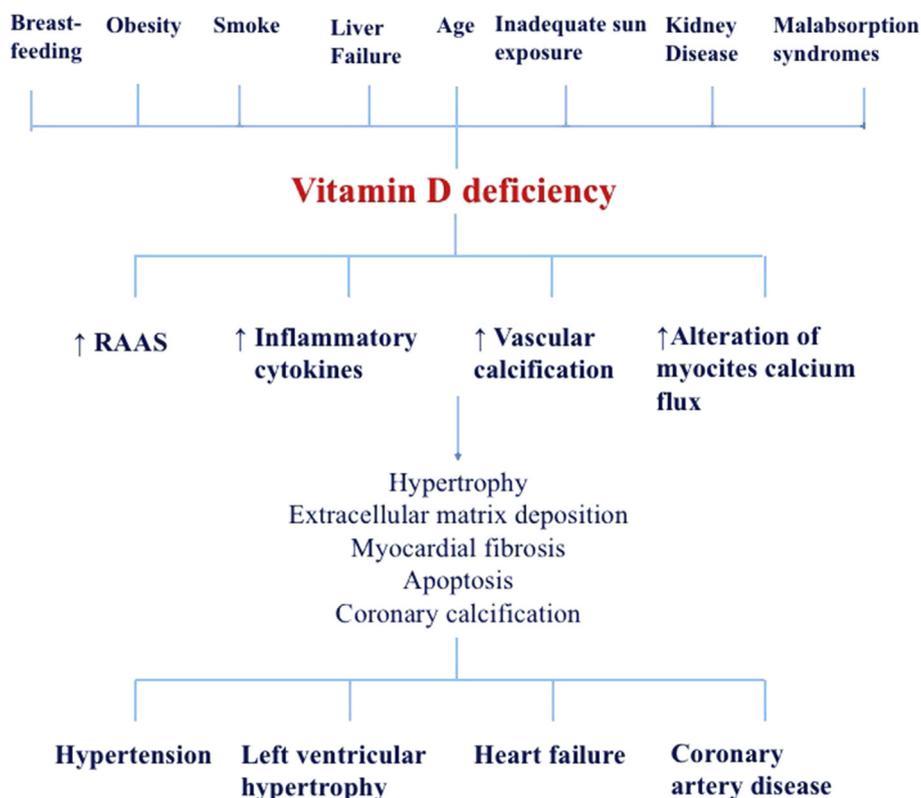
Several potential biological mechanisms link Vitamin D and its metabolites to CV diseases (Fig. 1). Vitamin D acts as a negative regulator of renin-angiotensin-aldosterone system (RAAS) and several studies showed a relationship between low Vitamin D levels and increased RAAS activity [12–14]. Consistently, VDR knockout mice show increased RAAS activity, leading to hypertension, cardiac hypertrophy, increased water intake and sodium retention [12]. In addition, Vitamin D deficiency stimulates renin expression in normal mice, whereas 1,25(OH)<sub>2</sub>D injection leads to renin suppression [12]. Vitamin D may act directly on growth and differentiation of cardiomyocytes inhibiting their proliferation. This anti-proliferative property may be due to the suppression of proto-oncogene *c-myc* and of natriuretic peptide. VDRs are also expressed on cardiac fibroblasts, and VDR knockout mice show collagen deposition [15].

Vitamin D modulates myocardial extracellular matrix turnovers. In fact, VDR knockout mice show increased metalloproteinase (MMP) activity due to a reduced production of tissue inhibitors of metalloproteinases 1 and 3 (TIMP1 and TIMP 3) (MMP inhibitors). Thus, the proteolytic action of MMP promotes the destruction of myocardial tissue leading to ventricular remodelling [16,17].

Calcium deposition in atherosclerotic plaques and vascular calcification are also promoted by Vitamin D [18,19]. This observation is consistent with the inverse correlation between Vitamin D levels and coronary artery calcification [20]. Furthermore, it is documented that endothelial cells express VDR, so that Vitamin D increases nitric oxide synthase activity in vitro [21], enhances vascular endothelial growth factor production [22,23] and reduces endothelial platelet aggregation [24].

By a non-genomic pathway, the functional form of Vitamin D acts on calcium channels in cardiac myocytes inducing a rapid influx of calcium [25]. Experimental animal studies showed that calcitriol, through the phosphorylation of protein kinase C, promotes myocytes relaxation, thus participating in the homeostasis of diastolic function [26], and enhances myocyte contractility through adenylate cyclase and cyclic adenosine monophosphate (cAMP) pathways [25].

The effects of Vitamin D on CV system are additionally mediated through elevated parathyroid hormone (PTH)



**Figure 1** Mechanisms involved in the onset and/or progression of heart failure in patients with Vitamin D deficiency; Abbreviation: RAAS: renin-angiotensine-aldosterone system.

levels. It is well established that Vitamin D is included in the calciotropic hormone system together with PTH [27]. The active Vitamin D form enhances the production and activity of the TRPV6 (Transient Receptor Potential channel Vanilloid type) ion channel and calbindin calcium binding protein in the intestinal epithelium to promote calcium absorption. In addition, 1,25(OH)2D increases renal calcium reabsorption as well as calcium reabsorption from the skeleton together with PTH [28]. Besides, VDRs are present in the parathyroid gland and 1,25(OH) suppresses production of PTH and prevents proliferation of parathyroid glands [29]. Consequently, Vitamin D deficiency is associated with elevated PTH concentration, that exerts a trophic effect on cardiomyocytes with an increase in total cellular mass and arterial stiffness [30], that is associated with development of left ventricular (LV) hypertrophy in patients with elevated PTH levels [31].

In summary, large evidence from experimental data supports a plausible mechanistic association between Vitamin D deficiency and CV damage.

**Vitamin D deficiency and HF**

**Prevalence of vitamin D deficiency in HF (Cross sectional and case-control studies)**

It is estimated that 1 billion people worldwide have Vitamin D deficiency or insufficiency, and 40–80% of the

elderly population exhibits Vitamin D deficiency [32]. Risk factors for Vitamin D deficiency include sunscreen usage, dark skin, breast fed infants, ageing, inflammatory bowel disease, fat malabsorption disease, obesity and sedentary lifestyle [33].

Several cross-sectional studies showed an association between HF and 25(OH)D levels (Table 1). Shane et al. [34] showed low serum levels of 25(OH)D ( $\leq 9$  pg/ml) and of 1,25(OH)2D ( $\leq 15$  pg/ml) in 17% and 26%, respectively, of 101 HF patients being evaluated for heart transplant. Then, Zitterman et al. [35], in a case control study, also reported a statistically significant reduction of 25(OH)D and calcitriol levels in patients with HF compared to a control group.

Similar epidemiological data were obtained from the National Health and Nutrition Examination Survey (NHANES) 2001 to 2004 [28]. In this study in 8351 US adults, who had 25(OH)D levels measured, the prevalence of hypovitaminosis D (using previous definition of Vitamin D deficiency for plasma levels  $< 30$  ng/ml) was 74%, and a stepwise increase of CV disease was observed from the lowest to the highest serum 25(OH)D tertile (from 3,25%, to 2.4% and 1,5%). Notably, hypovitaminosis D reached 89% prevalence in patients with concomitant coronary heart disease and HF (OR 3.52, 95% CI 1.58–7.84).

Also, the Intermountain Healthcare system study, including 41,504 subjects from a general population with at least one Vitamin D measurement, showed that 36% of the population had Vitamin D levels in the range of normality, 47% had a mild-moderate reduction (16–30 ng/

**Table 1** Prevalence of Vitamin D deficiency in heart failure (Cross sectional and case–control studies).

| Author            | Study design       | Publication year | Patients enrolled (n) | Inclusion criteria   | Definition of hypovitaminosis                        | Heart failure and hypovitaminosis D   |
|-------------------|--------------------|------------------|-----------------------|--|--|---|
| Shane E [34]      | Cross-sectional    | 1997             | 101                   | NYHA class III–IV; Consideration for cardiac transplant    | 25(OH)D $\leq$ 9 pg/ml<br>1,25(OH)2D $\leq$ 15 ng/ml | 17% prevalence of hypovitaminosis D in HF patients with 25(OH)D $\leq$ 9 pg/ml<br>26% prevalence of hypovitaminosis D in HF patients with 25(OH)D $\leq$ 15 ng/ml |
| Zittermann A [35] | Case control       | 2003             | 54                    | NYHA class $\geq$ II                                       |  | Not reported.<br>Significant reduction of 25(OH)D and calcitriol serum levels in patients with HF (ANOVA p value < 0.001)   |
| Kim DH [28]       | Cross-sectional    | 2008             | 8351                  | Adult subject with measured 25(OH)D levels                 | 25(OH)D < 30 ng/ml                                   | 89% of hypovitaminosis D in HF patients with concomitant coronary artery disease  |
| Anderson JL [5]   | Prospective cohort | 2010             | 41,504                | General healthcare population with measured 25(OH)D levels | 25(OH)D $\leq$ 30 ng/ml                              | 97% of HF in patients with low Vitamin D levels.<br>1.31 HR for new HF development in patients with low and very low Vitamin d levels.                            |

Abbreviations: HF: Heart failure; NYHA: New York Heart Association; 1,25(OH)2D: 1,25-dihydroxivitamin D; 25(OH)D: 25-hydroxyvitamin D.

ml) and 17% had very low levels ( $\leq$ 15 ng/ml). An increased prevalence of HF (90% relative and 9% absolute) was observed in very low versus normal Vitamin D categories ( $p < 0.0001$ ), and during follow-up ( $1.3 \pm 1.2$  years) new onset HF developed in 594 (2.5%) subjects older than 50 years. Vitamin D plasma levels inversely correlated to the risk of developing HF, and adjusted hazards for HF were 2.01 and 1.30 for very low levels and low levels of Vitamin D, respectively [5].

Thus, prevalence of Vitamin D deficiency is increased in patients with HF and a correlation between reduced levels of Vitamin D and HF prevalence has been observed in clinical studies.

#### **Low vitamin D and risk of HF (Observational longitudinal studies)**

The association between Vitamin D levels and HF has been also confirmed in longitudinal studies (Table 2).

The prospective Ludwigshafen Risk and Cardiovascular Health (LURIC) [36] study enrolled 3299 patients undergoing coronary angiography and evaluated the association between 25(OH)D levels and HF and sudden cardiac death (SCD) during a mean follow-up of 7.7 years. 25(OH)D and 1,25(OH)2D were independently and inversely correlated with N-terminal pro-brain natriuretic peptide (NT pro-BNP) levels ( $r = -0.190$  and  $-0.255$ , respectively;  $p < 0.001$  for both) and were inversely associated with LV ejection fraction (EF) ( $p < 0.001$  for both) and higher New York Heart Association (NYHA) class ( $p = 0.05$  for 1,25(OH)2D). Using multivariable adjustment for confounding factors, the inverse correlation of NT pro-BNP

and LVEF with 25(OH)D and calcitriol levels was unchanged. In addition, during a median follow-up of 7.7 years, 116 patients died due to HF (110 in low Vitamin D groups and 6 in Vitamin D optimal range group) and 188 due to SCD (182 in low Vitamin D groups and 6 patients with optimal range of Vitamin D). Thus, low levels of 25(OH)D and of 1,25(OH)2D were independent risk factors for mortality due to HF (HR 2.84; 95% CI: 1.20–6.74) and for SCDs (HR 5.05; 95% CI: 2.13–11.97).

The Cardiovascular Health Study [30] measured Vitamin D and PTH levels in 2312 healthy subjects and reported that patients with Vitamin D deficiency and high PTH levels showed higher risk of MI and HF compared to controls, during 14 years follow-up. After adjustment, each 10 ng/ml lower 25(OH)D concentration was associated with a 9% greater (95% CI: 2%–17%;  $p = 0.012$ ) relative hazard of all-cause mortality and a 25% greater (95% CI: 8%–44%;  $p = 0.002$ ) relative hazard of MI. In addition, a serum 25(OH)D concentrations  $< 15$  ng/ml was associated with a 29% greater (95% CI: 5%–55%) risk of all-cause mortality. Instead, serum PTH concentration ( $\geq 65$  pg/ml), that reflects inadequate Vitamin D stores and activity [33,37], was associated with a 30% (95% CI: 6%–61%) greater risk of incident HF.

Consistent with these observations, a recent study [38] prospectively followed up, for a mean of 13 years, 3731 men aged 60–79 years with no prevalent HF or primary hyperparathyroidism and with measured Vitamin D and PTH concentrations. In this study, elevated PTH levels ( $> 55.6$  pg/ml) were significantly associated with higher risk of developing HF after adjustment for lifestyle characteristics and comorbidities (HR 1.66; 95% CI: 1.30–2.1;

**Table 2** Low Vitamin D and risk of heart failure (Observational longitudinal studies).

| Author              | Study design       | Publication year | Patients enrolled (n) | Inclusion criteria  | Mean age | Outcomes   | Follow-up  | Results  |
|---------------------|--------------------|------------------|-----------------------|---|----------|--|------------|--|
| Pilz S [36]         | Prospective cohort | 2008             | 3299                  | Patient referred to coronary angiography  | 63       | Association of 25(OH) with HF measures. Hazard Ratio for death due to HF and SCD according to Vitamin D status.    | 7.7 years  | 2.84 HR (95% CI 1.20–6.74) for HF death in patients with hypovitaminosis D.<br>5.05 HR (95% CI 2.13–11.97) for SCD   |
| Kestenbaum B [30]   | Prospective cohort | 2011             | 2312                  | Healthy subjects aged $\geq 65$ years.  | 75       | Association of 25(OH)D and PTH concentration, separately and in combination, with incident CV events and mortality | 14 years   | 29% greater (95% CI: 5%–55% greater) risk of all-cause mortality in patients with 25(OH)D < 15 ng/ml.<br>30% (95% CI: 6%–61%) greater risk of incident HF in patients with PTH $\geq 65$ pg/ml.        |
| Wannamethee SG [38] | Prospective cohort | 2014             | 3713                  | General population aged 60–79 years with and without established CV disease.      | 68       | Association of PTH, 25(OH)D and markers of mineral metabolism with risk of incident HF                             | 13 years   | 1.66 HR (95% CI: 1.30–2.1) for new HF development in patients with PTH >55.6 pg/ml<br>No association of 25(OH)D or mineral metabolism (calcium or phosphate) with HF risk (HR 1.07; 95% CI: 0.67–1.71) |
| Bansal N [39]       | Prospective cohort | 2014             | 6469                  | Racial and ethnically different population free of prevalent clinical CV disease. | 62       | Associations of serum PTH and 25(OH)d with incident HF and LV mass   | 8.46 years | 50% (95% CI: 3%–20%) greater risk of incident HF in patient with PTH > 65 pg/ml<br>No association between 25(OH)D and HF   |

Abbreviations: CV: cardiovascular; HF: Heart failure; LV: left ventricular; PTH: parathyroid hormone; SCD: sudden cardiac death; 1,25(OH)2D: 1,25-dihydroxyvitamin D; 25(OH)D: 25-hydroxyvitamin D.

$p < 0.0001$ ). Instead, no association was documented between 25(OH)D or mineral metabolism (calcium or phosphate) and HF risk (HR 1.07; 95% CI: 0.67–1.71). Finally, a recent large prospective study [39], including racial and ethnically different populations, also confirmed a significantly increased risk of HF in patient with increased PTH levels ( $>65$  pg/ml) (95% CI: 3%–20%), whereas no association between 25(OH)D and HF was observed in a multi-variable model.

The inconsistency in evidence linking Vitamin D deficiency and PTH to HF development might be explained considering that elevated PTH levels usually identify patients with low Vitamin D levels, confounding the relationship between these conditions and HF. In fact, progressive renal function decrease, physical inactivity as well as reduced calcium absorption are either causes or consequences of hypovitaminosis D that are associated with increased PTH levels [40].

In summary, in patients with no evidence of HF, reduced levels of Vitamin D or elevated levels of PTH are independently associated with an increased risk of developing HF.

### **Impact of vitamin D deficiency on prognosis in HF patients**

Several studies (Table 3) have assessed whether, in patients with HF, Vitamin D deficiency is associated with worst prognosis.

In the study of Liu et al. [41] in 548 patients with HF, followed up for 18 months, lower Vitamin D levels were associated with higher HF hospitalization and all-cause mortality, and the combined endpoint of all-cause mortality and HF rehospitalisation increased significantly across decreasing 25(OH)D tertiles. After adjustment in a multi-variable Cox regression analysis, low 25(OH)D concentration remained independently associated with increased risk of the combined endpoint (HR 1.09 per 10 nmol/L decrease; 95% CI: 1.00–1.16;  $p = 0.040$ ) and all-cause mortality (HR 1.10 per 10 nmol/L decrease; 95% CI: 1.00–1.22;  $p = 0.049$ ). Gotsman et al. [42] selected adult members from a Health Maintenance Organization with available measurements of Vitamin D and showed that 1,25(OH)<sub>2</sub>D median levels in HF were lower than in non HF subjects (36.9 nmol/L versus 40.7 nmol/L;  $p < 0.00001$ ) and, at Cox regression analysis, Vitamin D deficiency was a significant independent predictor of mortality in HF patients.

Since calcitriol and PTH are physiologically interrelated, Gruson et al. [43] prospectively analysed the relation between 1,25(OH)<sub>2</sub>D levels and 1,25(OH)<sub>2</sub>D to PTH ratio and CV events, including CV mortality and cardiac transplantation in 170 chronic HF patients during a mean follow-up of 4.1 years. In this study, median serum levels of 1,25(OH)<sub>2</sub>D inversely correlated to HF severity, and the combined endpoint of CV death and cardiac transplantation, occurring in 106 of 170 patients (62%), was independently predicted by 1,25(OH)<sub>2</sub>D and 1,25(OH)<sub>2</sub>D to PTH ratio in the Cox proportional hazard modelling.

**Table 3** Impact of Vitamin D deficiency on prognosis in heart failure patients.

| Author         | Study design | Publication year | Patients enrolled (n) | Inclusion criteria                        | Outcome  | Follow-up duration | Results   |
|----------------|--------------|------------------|-----------------------|---|--|--------------------|---|
| Liu LC [41]    | Prospective  | 2011             | 548                   | Chronic HF; NYHA class II–IV              | 1°: composite of all-cause mortality + HF rehospitalization.<br>2°: all-cause of mortality and HF rehospitalization. | 18 month           | 1.09 HR (95% CI 1.00–1.16) for the primary outcome per 10 nmol/L decrease of 25(OH)D levels.<br>1.10 HR (95% CI 1.00–1.22) for all-cause mortality per 25(OH)D 10 nmol/L decrease.<br>No association was observed with rehospitalization due to worsening HF. |
| Gotsman I [42] |              | 2012             | 49,834                | Age $\geq 45$ years<br>Measured Vitamin D | Effects on mortality of Vitamin D levels and Vitamin D supplementation in HF patients                                | 518 days           | 1.52 HR (95% CI 1.21–1.92) for mortality in patients with Vitamin D deficiency.<br>0.68 HR (95% CI 0.54–0.85) for mortality in patients in therapy with Vitamin D supplementation.  |
| Gruson D [43]  | Prospective  | 2015             | 170                   | Chronic HF; LVEF $\leq 35\%$              | Cardiovascular death and heart transplantation   | 4.1 years          | The endpoint occurring in 106 of 170 patients (62%) and was predicted by 1,25(OH) <sub>2</sub> D and 1,25(OH) <sub>2</sub> D to PTH ratio in the Cox proportional hazard modelling.   |

Abbreviations: HF: Heart failure; LVEF: left ventricular ejection fraction; NYHA: New York Heart Association; PTH: parathyroid hormone; 1,25(OH)<sub>2</sub>D: 1,25-dihydroxyvitamin D; 25(OH)D: 25-hydroxyvitamin D.

Thus, there is convincing evidence that in patients with established HF low levels of Vitamin D are associated with poorer prognosis.

### **Vitamin D supplementation and incidence of HF (Primary prevention trials)**

There are only 2 studies that investigated whether Vitamin D supplementation prevents new HF [44,45] (Table 4). The first one [44] evaluated whether Vitamin D plus calcium (CaD) supplementation was associated with lower incidence of HF in post-menopausal women enrolled in the Women's Health Initiative without HF. In this study 35,983 post-menopausal women were randomized to receive 1000 mg/day of calcium plus 400 IU/day of Vitamin D<sub>3</sub> or placebo and were followed up for 7.1 years. CaD supplementation was not associated with reduced HF risk compared to placebo in the overall population (HR 0.95, 95% CI 0.82–1.09;  $p = 0.46$ ). However, stratifying patients by baseline risk of HF, CaD supplementation was associated with a statistically significant 37% lower risk of HF (HR 0.63, CI 95% 0.46 to 0.87;  $p = 0.005$ ) in the low-risk subgroup.

The second study [45] randomized 5108 adult subjects from a general population to placebo or high monthly dose of Vitamin D supplementation and studied the cumulative incidence of CVDs for a median follow-up of 3.3 years. Baseline 25(OH)D concentration was 25.3 ng/ml and was similar between Vitamin D and placebo groups. The primary outcome of CVD and death occurred in 11.8% in Vitamin D group and 11.5% in the placebo group (HR 1.02 95% CI, 0.87–1.20;  $p = 0.81$ ) and no significant differences in any of the secondary CV outcomes, including HF, were observed. However, this study reported only the effects of a monthly administration of Vitamin D that might be different from daily or weekly dose administration.

Thus, only scant and not definitive data are available on the prevention of HF in patients with Vitamin D deficiency, yet these limited data support the need for more tailored studies in patients with Vitamin D deficiency at different risk of developing HF.

### **Vitamin D supplementation and prognosis of HF (Secondary prevention trial)**

It remains unclear whether Vitamin D supplementation favourably impacts on CV mortality and morbidity in HF patients, since only one randomized controlled trials on hard clinical endpoints is available (Table 5, Table 1s in supplementary material). Currently, 2 non-randomized [42,46] and 9 randomized (Table 6) [47–53,55,56] studies on surrogate endpoints have been reported.

#### **Non-randomized studies**

Gotsman et al. [42] followed up for 518 days 3009 HF patients and 46,825 control subjects. The percentage of patients with Vitamin D deficiency (25(OH)D < 25 ng/ml) was higher in HF patients compared to controls (28% vs 22%,  $p < 0.00001$ ), and treatment with Vitamin D supplements was independently associated with reduced mortality in patients with Vitamin D deficiency (HR 0.68; 95% CI 0.52–0.85;  $p < 0.0001$ ). Amin et al. [46] in a prospective study of 100 patients with HF and NYHA class I through III, with insufficient or deficient 25(OH)D serum levels (<30 ng/ml and <20 ng/ml, respectively), reported a significant reduction of BNP levels after 4 months of Vitamin D supplementation, together with improvement in NYHA class and 6-min walking distance (6MWD).

#### **Randomized studies**

In a study enrolling 123 patients with HF randomized to Vitamin D supplementation or placebo, followed up for 15 months, Schleithoff et al. [47] reported that Vitamin D reduced the levels of inflammatory cytokines including tumour necrosis factor- $\alpha$  (TNF- $\alpha$ ) and interleukin-10 (IL-10). Yet, no changes were observed between treatment arms on functional parameters or survival. In this study, however, evidence of baseline Vitamin D deficiency was not a criterion for enrolment. In a randomized study enrolling 105 patients with HF and Vitamin D deficiency followed up for 20 weeks, Witham et al. [48] did not observe significant differences in the primary endpoint of 6MWD and quality of life, despite a significant decrease of

**Table 4** Vitamin D supplementation and incidence of HF (primary prevention trials).

| Author            | Publication year | Study design                                 | Patients enrolled (n) | Inclusion criteria  | Outcomes   | Follow-up | Vitamin D levels for enrolment | Dose                               | Results  |
|-------------------|------------------|--|-----------------------|---|--|-----------|--------------------------------|------------------------------------|--|
| Donneyong MM [44] | 2015             | Randomized, double-blind, placebo-controlled | 35 983                | Post-menopausal women with cardiovascular risk and without HF | HF cases   | 7.1 years | Not required                   | 400 IU Vitamin D+400 calcium daily | No difference between groups.  |
| Scragg R [45]     | 2017             | Randomize, double-blind, placebo-controlled  | 47,905                | General population  | Number of participants with incident CVD and death | 3.3 years | Not required                   | 100,000 IU monthly                 | No difference between treatment and placebo group in CV events occurrence (303 vs 293) |

Abbreviations: CV: cardiovascular; CVD: cardiovascular disease; HF: heart failure.

**Table 5** Vitamin D studies in heart failure patients with available results.

| ClinicalTrials.gov identifier | Study status | Study design             | Aim of the study  | Inclusion criteria  | Primary endpoint/outcome  | Secondary endpoint/outcome  | Results  |
|-------------------------------|--------------|--------------------------|---|---|---|---|--|
| NCT01326650 (EVITA)           | Completed    | Randomized, double blind | Investigate whether Vitamin D supplementation reduces mortality and increases event-free survival in end-stage CHF patients                           | Age 18 to 80 yrs; NYHA class $\geq$ II.   | All-cause of mortality.   | Hospitalization, resuscitation, MCS implant, high urgent listing for heart transplantation and hypercalcaemia   | Vitamin D supplementation not reduce mortality, but increase MCS implants  |
| NCT01092130 (VitD CHF trial)  | Completed    | Randomized, open label   | Investigate the effect of the administration of Vitamin D in patients with CHF  | Age $\geq$ 18 yrs; NYHA class II–IV; Treatment with ACE-I or ARB and BB therapy for at least 4 weeks. | PRA after 6 weeks of treatment.   | Safety endpoints, the effect of Vitamin D administration on additional marker of renin-angiotensin system activity, Vitamin D cascade, NT-proBNP, kidney function, extracellular matrix markers | Significant decrease in PRA and plasma renin concentration in treated group. No significant changes in serum concentration of natriuretic peptide, fibrosis markers (exception galectina-3), PTH, PRC and kidney function between the two groups |
| NCT01230307                   | Completed    | Randomized, double blind | Investigate how rapid Vitamin D supplementation affects biomarkers and submaximal exercise capacity in systolic HF patients with low Vitamin D status | LVEF $<$ 40%; 25(OH)D = 10–25 ng/ml; Optimized medical therapy.                                       | How rapid vitamin D supplementation affects biomarkers (CRP, IL-6, TNF-a, PPT I, PP III, MMP-2, MM-9, TIMP-1. | Exercise capacity (6-MWT); Quality of life measured by KCCQ; Vitamin D genomics.  | Outcomes were not analysed because enrolment was less than 30% of original goal  |
| NCT01619891 (VINDICATE Study) | Completed    | Randomized, double blind | Detect whether Vitamin D has pathophysiologically important effects   | CHF; LVEF $\leq$ 45%; NYHA class II–III; 25(OH)D $<$ 20 ng/ml.  | 6-MWD.  | Changes of LV cardiac function by cardiac magnetic resonance; Peak exercise capacity; Biochemical changes.  | No changes in $\Delta$ 6MWD. Significant variation in cardiac function (LVEF) and reduction in LVEDD, LVESD, LVEDV and LVEDD   |
| NCT01005303                   | Completed    | Randomized, double blind | Investigate if supplementation with micronutrients (including high-dose Vitamin D) will improve the function of the heart in patients with HF         | NYHA class II–III; ACE-I and BB therapy; Stable at least 6 month; LVEF $\leq$ 45%.                    | LVEF  | Cardiac volume; Levels of inflammation; Levels of oxidative stress Biomarker of cardiac function; Quality of life; Physical function.   | There was no significant difference in mean LVEF and in any of the secondary endpoints   |

Abbreviations: CHF: chronic heart failure; CPX: cardiopulmonary exercise test; CRP: C-reactive protein; IL-6: interleukin-6; CV: cardiovascular; KCCQ: Kansas City Cardiomyopathy Questionnaire; LVEF: left ventricular ejection fraction; LVEDD: left ventricular end-diastolic diameter; LVEDV: left ventricular end-diastolic volume; LVESD: left ventricular end-systolic diameter; LVESV: left ventricular end-systolic volume; MCS: mechanical circulatory support; MI: myocardial infarction; MMP-2: matrix metalloproteinase 2; NYHA: New York Heart Association; NT-proBNP: N-terminal pro-B-type natriuretic peptide; PPT I: propeptide procollagen type I; PP III: plasma procollagen III; PRA: plasma renin activity; TIMP-1: tissue inhibitor of matrix metalloproteinases-1 TNF-a: tumour necrosis factor-a; 6-MWT 6-min walking test; 6-MWD: 6 min walking distance.

**Table 6** Randomized study of Vitamin D therapy in heart failure patients.

| Author                            | Year | Study design                                 | Inclusion criteria  | Vitamin D/Control patients (n) | Vitamin D Dose                   | Follow-up Duration                        | Primary Endpoints  | Secondary endpoint  | Results  |
|-----------------------------------|------|--|---|--------------------------------|----------------------------------|---|--|---|--|
| Schleithoff SS [47]               | 2006 | Randomized, double-blind, placebo-controlled | CHF; NYHA class $\geq$ II   | 61/62                          | 2000 IU/d                        | 9 months<br>15 months (for survival rate) | Survival rates and biochemical variables   | LVEF<br>LVEDD<br>VO <sub>2</sub> max<br>Blood Pressure  | Significant reduction in serum concentration of TNF- $\alpha$ (-2.0 vs 2.7 pg/ml; p = 0.006) and IL-10 (0.24 vs -0.20 pg/ml; p = 0.042).<br>No significant variation for other parameters. |
| Witham MD [48]                    | 2010 | Randomized, double-blind, placebo-controlled | Age $\geq$ 70 y; CHF; NYHA class II-III; LV systolic dysfunction; 25(OH)D < 20 ng/ml. | 53/52                          | 100,000 IU at baseline and 10 wk | 20 weeks                                  | 6MWD   | TGUG, daily physical activity levels, quality of life and cardiovascular and inflammatory markers | Significant decrease in BNP level in treatment group in confront of placebo (-22 vs + 78 pg/ml; p = 0.04).<br>No significant variation for other parameters.                               |
| Shedeed SA [49]                   | 2012 | Randomized, double-blind, placebo-controlled | Infants with CHF; LVEF <40%   | 42/38                          | 1000 IU/d                        | 12 weeks                                  | Renin-angiotensin system cytokines, clinical, biochemical and echocardiographic parameters |   | Significant improvement in HF score, LVEDD, LVESD, LVEF, serum IL-10 and a decrease in PTH, IL-6 and TNF- $\alpha$ in Vit D group compared with control group.                             |
| Boxer RS [50]                     | 2013 | Randomized, double-blind, placebo-controlled | Age $\geq$ 50 y; NYHA class II-III; 25(OH)D $\leq$ 37.5 ng/ml                         | 31/33                          | 50,000 IU/wk                     | 6 months                                  | Peak VO <sub>2</sub>   | TGUG<br>6MWD<br>isokinetic muscle testing   | No effect  |
| Boxer RS [51]                     | 2014 | Randomized, double-blind, placebo-controlled | Age $\geq$ 50 y; NYHA class II-III; 25(OH)D $\leq$ 37.5 ng/ml                         | 31/33                          | 50 000 IU/wk                     | 6 months                                  | Effect on Hormone and Biomarker  | Echocardiographic parameters, health status   | Significant decrease of serum aldosterone in Vit D group (37% vs 14%; p = 0.02).<br>No significant variation for other parameters.   |
| Schroten NF (VitD-CHF trial) [52] | 2013 | Randomized, open-label                       | Age $\geq$ 18 y; CHF; LVEF <45%   | 50/51                          | 2000 IU/d                        | 6 weeks                                   | Plasma renin activity  | NT-proBNP, fibrosis markers, PTH, PRC, kidney function  | Significant decrease in PRA and plasma renin concentration in treated group.<br>No significant variation for other parameters.   |

(continued on next page)

**Table 6** (continued)

| Author                          | Year | Study design                                 | Inclusion criteria  | Vitamin D/Control patients (n) | Vitamin D Dose | Follow-up Duration | Primary Endpoints      | Secondary endpoint                               | Results   |
|---------------------------------|------|--|---|--------------------------------|----------------|--------------------|------------------------|--|---|
| Dalbeni A [53]                  | 2014 | Randomized, double-blind, placebo-controlled | Age >40y; CHF; LVEF <55%; NYHA class > II; 25(OH)D < 30 ng/ml | 18/18                          | 4000 IU/d      | 6 months           | LVEF                   | echocardiographic and laboratory parameters      | Significant increase in LVEF in Vit D group (6.71% vs -4.3%; $p < 0.001$ ). Significant increase in PIP serum concentration in control group than placebo (1140.9 vs -145 mcg/L; $p < 0.05$ ). No significant variation for other parameters.                             |
| Witte KK (VINDICATE Study) [55] | 2016 | Randomized, double-blind, placebo-controlled | CHF; LVEF ≤45%; NYHA class II–III; 25(OH)D < 20 ng/ml         | 80/83                          | 4000 IU/d      | 12 months          | 6MWD                   | Change in structure and cardiac function         | No changes in Δ6MWD. Significant variation in treated group than placebo in:<br>LVEF (+7.65 vs +1.36%; $p < 0.0001$ )<br>LVEDD (-2.45 vs 0.08 mm; $p = 0.002$ ),<br>LVESD (-2.72 vs -0.99 mm; $p = 0.043$ ),<br>LVEDV (-16.47 vs -3.83 ml) and LVESD (-18.77 vs -8.49 ml) |
| Zitterman A (EVITA) [56]        | 2017 | Randomized, placebo-controlled               | Adult patient (18–79ys); NYHA class ≥II; 25(OH) < 75 nmol/L.  | 201/199                        | 4000 IU/d      | 3 years            | All-cause of mortality | Hospitalization, resuscitation, MCS implantation | Increase MCS implantation in patient that received Vitamin d supplementation (15.4% vs 9%, with HR of 1.96, 95% CI 1.04–3.66; $p = 0.031$ ). No significant variation for other parameters.   |

Abbreviations: BNP: B-type natriuretic peptide; CHF: chronic heart failure; CRP: C-reactive protein; IL: interleukin; IU: international units; LVEF: left ventricular ejection fraction; LVEDD: left ventricular end-diastolic diameter; LVEDV: left ventricular end-diastolic volume; LVESD: left ventricular end-systolic diameter; LVESV: left ventricular end-systolic volume; MCS: mechanical circulatory support; NYHA: New York Heart Association; NT-proBNP: N-terminal pro-B-type natriuretic peptide; PIP: carboxyterminal propeptide of procollagen type I; PTH: parathyroid hormone; 6MWD: 6-min walk distance; TGUG: Timed get Up and Go; TNF- $\alpha$ : tumour necrosis factor- $\alpha$ ; Vit D: vitamin D; VO2: oxygen volume; 25(OH)D: 25(OH) vitamin.

natriuretic peptides. However, this study enrolled only elderly ( $\geq 70$  years) patients for a relatively short follow-up. In contrast, Shedeed et al. [49], in a randomized study in 80 paediatric patients with HF and LVEF  $< 40\%$  randomized to Vitamin D or placebo and followed up for 12 weeks, reported a significant improvement of functional LV parameters (reduced LV volumes and increased EF) together with improved inflammatory profile (reduced IL-6 and TNF  $\alpha$ ). In a randomized study involving 64 patients with HF, Boxer et al. [50] did not observe significant differences in the primary outcome of peak oxygen consumption after 6 months of treatment. In this study, however, patients were enrolled independently of LVEF (mean  $39 \pm 13\%$  in treated patients) and Vitamin D basal levels, that might represent a limitation. The same Author [51] subsequently investigated the effects of Vitamin D supplementation on RAAS activation and functional parameters in a randomized study of 64 patients with HF and Vitamin D deficiency followed up for 6 months. In this study, Vitamin D supplementation significantly reduced aldosterone levels but did not impact LV function or health status. Consistent with the previous study, also Schrotten et al. [52], in the VitD-CHF trial, that enrolled 101 patients with HF and EF  $< 45\%$  followed up for 6 weeks in an open label randomized study, observed a significant reduction of renin activity (representing the primary endpoint of the study) in Vitamin D treated patients. However, no changes of natriuretic peptides or functional parameters were reported. In a small study in 36 patients with HF with reduced vitamin D levels ( $< 30$  ng/ml) and LVEF  $< 55\%$ , followed up for 6 months, Dalbeni et al. [53] reported a significant increase of LVEF in vitamin D treated patients (6.7% vs  $-4.3\%$ ,  $p < 0.001$ ). A meta-analysis of these 7 randomized studies [54] reported that vitamin D supplementation in HF patients was associated with a significant decrease of TNF- $\alpha$ , C-reactive protein and PTH, but with no significant changes of LVEF, NT pro-BNP and 6MWD. However, as it appears from the description of the 7 included studies, large heterogeneity was present in this analysis, due to large variability in the age of populations, enrolling criteria for Vitamin D and LVEF at baseline, as well as outcome definition and study duration.

More recently the VINDICATE (Vitamin D treating patients with Chronic heart failure) placebo-controlled randomized trial [55] investigated the effects Vitamin D supplementation on 6MWD (primary endpoint) in 163 patients with systolic HF and low vitamin D levels (25(OH) D  $< 20$  ng/ml), followed up for 1 year. Vitamin D supplementation did not significantly improve 6MWD in HF patients, although the study resulted underpowered to detect a significant difference. However, Vitamin D supplementation was associated with a significant improvement of LVEF ( $+6.07\%$ , 95% CI: 3.20–8.95;  $p < 0.0001$ ) and of LV end diastolic diameter ( $-2.49$  mm, 95% CI:  $-4.09$  to  $-0.90$ ;  $p = 0.002$ ) and end systolic diameter ( $-2.09$  mm, 95% CI:  $-4.11$  to  $-0.06$ ;  $p = 0.043$ ). Thus, although the study did not meet its primary endpoint, the favourable

effects on LV remodelling, i.e. on parameters that are associated with clinical outcomes in HF patients, are hypothesis-generating for future clinical studies.

Finally, the EVITA trial (Effect of Vitamin D on all-cause mortality in heart failure) [56], randomized 400 HF patients with 25(OH)D levels  $< 30$  ng/ml to receive 4000 IU Vitamin D daily or placebo for 3 years, with primary endpoint of all-cause mortality and secondary endpoint of hospitalization, resuscitation and mechanical circulatory support (MCS) implantation. Although mortality did not significantly differ in treated and placebo group (19.6% vs 17.9% with HR of 1.09, 95% CI 0.68–1.71;  $p = 0.726$ ), there was a significant greater need for MCS implantation in treated patients compared to placebo (15.4% vs 9%, HR 1.96, 95% CI 1.04–3.66;  $p = 0.031$ ).

In summary, there is no definitive evidence supporting a favourable role of Vitamin D supplementation in patients with HF. Yet, completed and published studies so far, appear inadequately powered to provide a clear understanding of the benefit, neutrality or even potential harm of Vitamin D supplementation in HF.

## Conclusions

Although an epidemiological association between Vitamin D deficiency and risk of CV events, including HF, is demonstrated pathophysiological mechanisms are still not fully understood. Interventional studies reported inconsistent results on the clinical effects of Vitamin D supplementation in patients with or at risk of HF, and, therefore, additional evidence from ongoing randomized studies is needed to assess whether add-on supplementation therapy with Vitamin D has a role in the prevention and/or management of HF.

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## Appendix A. Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.numecd.2017.07.009>.

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